

Physics and Geometry of the Knots-Quivers Correspondence

Pietro Longhi
ETH Zürich

joint with T. Ekholm and P. Kucharski

Geometric Correspondences of Gauge Theories
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The conjecture relates colored HOMFLY-PT polynomials of K to motivic Donaldson-Thomas invariants of Q^\blacklozenge

$$\sum_{r \geq 1} H_r(a, q) x^r = P^Q(\vec{x}, q)$$

Knot theory distinguishes inequivalent embeddings $K : S^1 \hookrightarrow S^3$ by an assignment of topological invariants.

The HOMFLY-PT polynomial is defined recursively by

$$a H_1(\nearrow \searrow) - a^{-1} H_1(\nwarrow \swarrow) = (q - q^{-1}) H_1(\updownarrow),$$

$$H_1(\bigcirc) = \frac{a - a^{-1}}{q - q^{-1}}.$$

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$$a H_1(\text{crossing}) - a^{-1} H_1(\text{crossing}) = (q - q^{-1}) H_1(\text{cup}) H_1(\text{cap}),$$

$$H_1(\text{circle}) = \frac{a - a^{-1}}{q - q^{-1}}.$$

For example

$$H_1(\text{trefoil}) = \frac{a - a^{-1}}{q - q^{-1}} (a^{-2} q^2 - a^{-4} + a^{-2} q^{-2}).$$

A quiver Q is an oriented graph, with nodes Q_0 connected by arrows. Let C_{ij} be the number of arrows $i \rightarrow j$.

A representation $M_{\vec{d}}$ of dimension $\vec{d} \in Q_0\mathbb{N}$ is the assignment

- vector spaces \mathbb{C}^{d_i} , $i = 1 \dots |Q_0|$
- linear maps $f_\alpha : \mathbb{C}^{d_i} \rightarrow \mathbb{C}^{d_j}$, $\alpha = 1 \dots C_{ij}$

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A representation $M_{\vec{d}}$ is semi-stable with respect to $\vec{\theta} \in Q_0\mathbb{R}$ if $\vec{d} \cdot \vec{\theta} = 0$, and $\vec{d}' \cdot \vec{\theta} \geq 0$ for every sub-representation $\vec{d}' \leq \vec{d}$. It is stable if $\vec{d}' \cdot \vec{\theta} = 0$ only for $\vec{d}' = \vec{d}, \vec{0}$.

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Their representation theory is completely understood[♣]

$$P^Q(\vec{x}, q) = \sum_{\vec{d}} (-q)^{\vec{d} \cdot C \cdot \vec{d}} \prod_{i=1}^{|Q_0|} \frac{x_i^{d_i}}{(q^2; q^2)_{d_i}}$$

[♣][Efimov '11]

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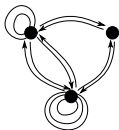
$$\begin{aligned}
 P^Q(\vec{x}, q) &= \sum_{\vec{d}} (-q)^{\vec{d} \cdot C \cdot \vec{d}} \prod_{i=1}^{|Q_0|} \frac{x_i^{d_i}}{(q^2; q^2)_{d_i}} \\
 &= \prod_{\vec{d}} \prod_{j \in \mathbb{Z}} (q^j \vec{x}^{\vec{d}}; q^2)_{\infty}^{(-1)^j \Omega_{\vec{d},j}}
 \end{aligned}$$

$\Omega_{\vec{d},j}$ are positive integers.

The Knots-Quivers correspondence[♣] conjectures that for each K there is a quiver Q , and integers a_i, q_i , such that

$$P^Q(\vec{x}, q) \Big|_{x_i = x a_i q^{q_i}} = \sum_{r \geq 0} H_r(a, q) x^r$$

Example:

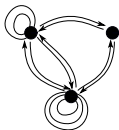


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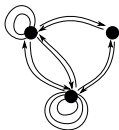
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Evidence: $(2,p)$, $(3,p)$ torus knots; $TK_{2|p|+2}$, TK_{2p+1} twist knots.
 Proved for rational links.[♣]

[♦][Kucharski-Reineke-Stosic-Sulkowski '17]; [♣][Stosic-Wedrich '17]

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- Given a knot K , how to get the dual quiver Q ?
- What is the meaning of nodes and arrows of Q ?
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- Is there a unique quiver Q for a given knot K ?

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Strategy: understand connection via String Theory.

Knots in physics

$$H_R(a = q^N, q^2 = e^{\frac{2\pi i}{N+k}}) = \langle W_R[K] \rangle_{S^3} \text{ in } U(N)_k \text{ Chern-Simons.}^\spadesuit$$

[♠][Witten '89];

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Without loops, Chern-Simons theory on S^3 is equivalent to open topological strings with $e^{g_s} = q^2$ on T^*S^3 with N A-branes.♣

The 't Hooft limit corresponds to a geometric transition, leading to closed topological strings on the resolved conifold with $t = Ng_s$.◇

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Knots can be reintroduced by insertion of a “knot conormal” brane on $L_K \subset T^*S^3$, which transitions to a brane in the conifold Y .♥

$$Z_{top}^{open}(Y, L_K) = \sum_{r \geq 0} H_r(a, q) x^r$$

♣ [Witten '89]; ♣ [Witten '95]; ◇ [Gopakumar-Vafa '98]; ♥ [Ooguri-Vafa '99]

x is a brane modulus for $L_K \simeq \mathbb{R}^2 \times S^1$: one real deformation[♣]
complexified by $U(1)$ holonomy

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Classical phase space of flat $U(1)$ connections on $\partial L_K \simeq T^2$
is also T^2 . Canonical quantization yields plane waves[♣]

$$\psi_n(x) = e^{\frac{i}{\hbar} X \cdot P_n}, \quad P_n = n \frac{2\pi i}{k} = n \hbar.$$

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Therefore $x^n = \psi_n(x)$ is a wavefunction, and so is

$$Z_{top}^{open}(Y, L_K) = \sum_{r \geq 0} H_r(a, q) \psi_r(x) \in \mathcal{H}[\partial L_K]$$

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Let's consider the semiclassical limit ($q^2 = e^{g_s} \rightarrow 1$) of both sides:

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while discretized momenta $n = \frac{P_n}{\hbar} \sim \frac{1}{g_s} \log y$ become continuous

$$\sum_{n \geq 0} H_n(a, q) x^n \sim \int \frac{dy}{y} e^{\frac{1}{g_s} \left[\underbrace{-\tilde{W}_{LK}(a, y)}_{\text{sources}} + \underbrace{\log x \cdot \log y}_{S_{CS}} \right] + \dots}$$

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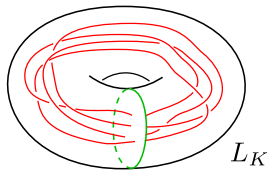
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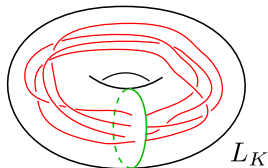
$$\begin{array}{ccc} Z_{top}^{open}(a, q, x) & \leftarrow [\text{Fourier}] \rightarrow & H_n(a, q) \\ \downarrow & & \downarrow \\ W_{disk}(a, x) & \leftarrow [\text{Legendre}] \rightarrow & \tilde{W}_L(a, y) \end{array}$$

The Legendre transform of the Gromov-Witten disk potential is a source term for the (effective) $U(1)$ Chern-Simons theory on L_K

Sources in CS on L_K are Wilson lines for the $U(1)$ connection, arising as boundaries of holomorphic disks that wrap around S^1 .



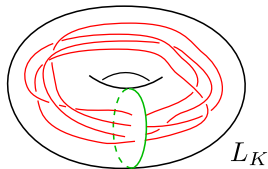
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Without disks, the $U(1)$ connection is described by $y = 1$.
The disk corrections are encoded by the Legendrian constraints

$$\exp\left(\frac{\partial \widetilde{W}_{L_K}}{\partial \log y} - \log x\right) = 1 \quad \leftrightarrow \quad \exp\left(\frac{\partial W_{disk}}{\partial \log x} - \log y\right) = 1$$

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$$\leftrightarrow \quad A(x, y, a) = 0 \quad \subset \quad \mathbb{C}_x^* \times \mathbb{C}_y^*$$

These recover the augmentation polynomial. [♣]

[♣][Ng'10]; [Ekholm-Etnyre-Ng-Sullivan'10]; [Aganagic-Vafa'12];

Is there a notion of semiclassical limit for quivers?

$$P^Q(\vec{x}, q) = \sum_{d_1 \dots d_m \geq 0} (-q)^{\vec{d} \cdot C \cdot \vec{d}} \prod_{i=1}^m \frac{x_i^{d_i}}{(q^2; q^2)_{d_i}}$$

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We define its semiclassical limit by setting $y_i = \lim_{g_s \rightarrow 0} q^{d_i}$

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where $\widetilde{\mathcal{W}}_Q = \sum_{i=1}^{|Q_0|} \text{Li}_2(y_i)$ is a finite set of sources: one per node.

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In sharp contrast with $\widetilde{\mathcal{W}}_{L_K}$, which is (almost) always infinite.

To interpret this, we consider Legendrian constraints (saddles)

$$A_i(x_i, y_i) := 1 - y_i - x_i \prod_j y_j^{C_{ij}} = 0$$

which we call “quiver A-polynomials”.

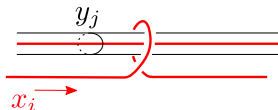
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Since $x_i \sim x$ it follows that $\prod y_i = y$. This suggests that

- each source winds once around S^1
- y_i is the contribution of a source to the meridian on ∂L_K
- C_{ij} are linking numbers: meridians shift longitudes



x_i, y_i are holonomies on tubular neighbourhoods around the i -th source. This enlarges the phase space to

$$\mathcal{M}_Q = (\mathbb{C}^* \times \mathbb{C}^*)^{|Q_0|}$$

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The role of the KQ change of variables $x_i = x a^{a_i} q^{q_i}$ is to carve out a 1-dimensional sub-variety: $A(x, y, a) = 0$.

It is determined by the embedding of $L_K \hookrightarrow Y$, since a_i encode wrappings of basic disks around the \mathbb{P}^1 in Y .

The Legendre transform relates a gauge theory to effective dynamics of sources (holomorphic disks):

$$\begin{array}{ccc} \widetilde{\mathcal{W}}_{L_K}(a, y) & \leftarrow [\text{Legendre}] \rightarrow & W_{Disk}(a, x) \\ \uparrow & & \\ \text{(dual)} & & \\ \downarrow & & \\ \text{(finite!)} \rightsquigarrow & & \widetilde{\mathcal{W}}_Q(y_i) \end{array}$$

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(finite!) \rightsquigarrow

The quiver disk potential W_Q refines W_{Disk} by \vec{d} -grading

$$W_{Disk} = \sum_{r,i,j} (-N_{r,i,j}^K) \text{Li}_2(x^r a^i) \quad \text{LMOV}$$

$$W_Q = \sum_{\vec{d},j} (-1)^{|\vec{d}|+j} \Omega_{\vec{d},j} \text{Li}_2(\vec{x}^{\vec{d}}) \quad \text{DT}$$

Quiver description of the spectrum of holomorphic curves

Basic disks

- one for each node
- $x_i \sim xa^{a_i}$: wrap once around K and a_i times around \mathbb{P}^1

Boundstate disks

- stable Q -rep. contains $\vec{d} = (\dots d_i \dots)$ copies of basic disks
- counted by $\Omega_{\vec{d},j}$
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Higher genus curves

- are counted by P^Q
- are generated from basic disks too, by quiver dynamics!

...but why?

Embedding open topological strings into M theory

open topological string		M theory	
	Y		$Y \times S^1 \times \mathbb{R}^4$
A-brane on	L_K	M5 on	$L_K \times S^1 \times \mathbb{R}^2$
instanton	$[\beta] \in H_2^{rel}(Y, L_K)$	M2 on	$\beta \times S^1$

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M5 engineers a 3d $\mathcal{N} = 2$ theory $T[L_K]$ on $S^1 \times \mathbb{R}^2$.

Its (K-theoretic) vortex partition function counts M2 branes.♦

$$Z_{top}^{open}(Y, L_K) = Z_{vortex}(T[L_K])$$

♦[Dimofte-Gukov-Hollands '10]

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Think of the quiver as describing the dynamics of either:

- M2 branes with linking boundaries
- BPS vortices of $T[L_K]$

♦[Dimofte-Gukov-Hollands '10]

To study vortex dynamics, we need to understand $T[L_K]$:

$$Z_{vortex}(T[L_K]) \sim \int \frac{dy}{y} e^{\frac{1}{g_s}[-\widetilde{\mathcal{W}}_{L_K}(a,y) + \log x \cdot \log y] + \dots}$$

An IR description can be obtained via a 3d-3d dictionary[♣]

- $\int \frac{dy}{y}$: $U(1)$ gauge symmetry
- $\text{Li}_2(e^\mu y^Q) \subset \widetilde{\mathcal{W}}_{L_K}$: 1-loop of a chiral with charge Q , mass μ
- $\log x \cdot \log y$: Fayet-Iliopoulos term

[♣][Terashima-Yamazaki'09; Dimofte-Gaiotto-Gukov'09; Fuji-Gukov-Sulkowski'13]

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But this description is not very useful: a $U(1)$ gauge theory with complicated matter spectrum ($\widetilde{\mathcal{W}}_{L_K}$ is infinite).

[♣][Terashima-Yamazaki'09; Dimofte-Gaiotto-Gukov'09; Fuji-Gukov-Sulkowski'13]

However we have a dual description of $Z_{vortex}(T[L_K])$

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specialized to $x_i = x a^{a_i}$, with $\widetilde{\mathcal{W}}_Q = \sum_i \text{Li}_2(y_i)$.

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The origins of the Knots-Quivers correspondence can be traced to a quantum mechanics of BPS vortices in $T[Q]$.[♣]

[♣]Admitting a quiver description [Hwang-Yi-Yoshida '17].

Quiver quantum mechanics from the viewpoint of M2 branes

- nodes: M2 wrapping basic holomorphic disks
- links: bifundamental light modes at M2-M2 intersections

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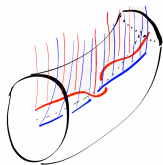
Answer from Knot Contact Homology: “standardize” discs, by stretching along certain submanifolds[♦]

- Morse function $f : L_K \rightarrow \mathbb{R}$ with absolute minimum on the zero-section of $\mathbb{R}^2 \rightarrow L_K \rightarrow S^1$; let D_0 be its disc fiber
- Given β_i with $\partial\beta_i \subset L_K$ define $\sigma'_i = \bigcup\{\text{flow lines of } \nabla f\}$
- At infinity $\sigma'_i \rightarrow m\lambda + n\mu \in H_1(\partial L_K, \mathbb{Z})$
- Standardize by defining $\sigma_i = \sigma'_i - nD_0$

[♦][Ekholm-Ng'18]

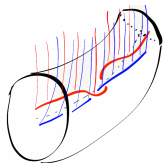
Linking number (M2-M2 intersections)

$$C_{ij} = \text{lk}(\partial\beta_i, \partial\beta_j) = \partial\beta_i \cdot \sigma_j = \sigma_i \cdot \partial\beta_j$$



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We can also define a notion of self-linking:

- introduce the 4-chain $C = \bigcup \{\text{flow lines of } J\nabla f\}$ in Y
- choose a “pushoff” vector field ν along $\partial\beta$

$$\text{slk}(\beta) = \underbrace{\partial\beta_\nu \cdot \sigma_\beta}_{m \cdot n \equiv C_{ii}} - \beta_{J\nu} \cdot C$$

Framing

Both $\sum_r H_r(a, q)x^r$ and Z_{top}^{open} depend on a choice of $f \in \mathbb{Z}$.

- In knot theory, it's an ambiguity arising in point-splitting regularization of Chern-Simons.
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But its effects are well understood: e.g.

$$A(x, y; a) = 0 \quad \rightarrow \quad A(x \cdot y^f, y; a) = 0$$

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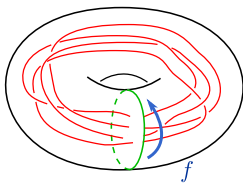
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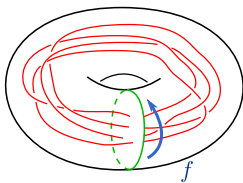
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What happens on the quiver side?

Since x, y are meridian and longitude on ∂L_K , geometrically $x \rightarrow x \cdot y^f$ corresponds to performing f Dehn twists



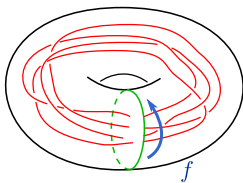
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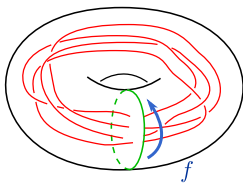
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It also implies that Q is not unique, in a way that is under control.

[♣][Kucharski-Reineke-Stosic-Sulkowski '17]

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On $T[Q]$, framing acts by overall shifts of Chern-Simons couplings. Reproduces $T^f \in SL(2, \mathbb{Z})$ action on 3d abelian gauge theories.♣

♣[Kucharski-Reineke-Stosic-Sulkowski '17]; ♣[Witten '03]

Conclusions

- The quiver description of knot invariants originates from the dynamics of BPS vortices of a 3d $\mathcal{N} = 2$ theory $T[Q]$.
- The structure of $T[Q]$ is encoded by the quiver
 - gauge group $U(1)_1 \times \cdots \times U(1)_{|Q_0|}$
 - one charged chiral for each $U(1)$
 - mixed Chern-Simons couplings C_{ij}
 - Fayet-Iliopoulos terms $\log x_i = \log x a^{a_i}$.

- Quivers also encode counts of holomorphic curves in open Gromov-Witten theory
 - a basic holomorphic disk on each node
 - interactions encoded by linking of disk boundaries
 - through quiver QM, disks generate all higher-genus curves too!

Quiver	Geometry	Physics
node i	basic holomorphic disk β_i	M2 brane / BPS vortex
edges C_{ij}	$\text{lk}(\partial\beta_i, \partial\beta_j)$	M2-M2 intersection / CS cplg.
x, y	holonomies on $\partial L_K \simeq T^2$	moduli for $T[L_K]$
x_i, y_i	holonomies on $(T^2)_i \subset \partial(L_K \setminus \{\partial\beta_j\})$	moduli for $T[Q]$
a_i	wrappings of \mathbb{P}^1	flavor charge of $U(1)_a$
q_i	self-linking $\text{slk}(\beta_i)$	spin $SO(2) \hookrightarrow \mathbb{R}^2$
$C_{ij} \rightarrow C_{ij} + f$	(Dehn twist) f	overall shift of CS couplings